

REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)
WASHINGTON, DC 20408

1. FROM (Agency or establishment)
Interstate Commerce Commission

2. MAJOR SUBDIVISION

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE

LEAVE BLANK (NARA use only)

JOB NUMBER
N1-134-93-1

DATE RECEIVED
2-2-93

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.

DATE ARCHIVIST OF THE UNITED STATES

5-15-93

Antony
Creedy Huskamp Peterson

6. AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached ___ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required;

is attached; or

has been requested.

DATE

01/28/93

SIGNATURE OF AGENCY REPRESENTATIVE

Edward C. Ferguson

TITLE

Chief, Public Records Section

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Described on the attached seven pages are 16 series of Interstate Commerce Commission records (306 cubic feet) currently stored at the Washington National Records Center (WNRC)		

Interstate Commerce Commission
Record Group 134

Described below are unscheduled Interstate Commerce Commission records at the Washington National Records Center. Often intermingled with the permanent records are disposable materials which cannot easily be removed until the records are transferred to the National Archives where they can be screened during archival processing. The National Archives may remove and destroy any of the following types of materials found interspersed with the records:

1. Records authorized for destruction by SF 115s approved for Interstate Commerce Commission records;
2. Records authorized for destruction by the General Records Schedules, and;
3. Nonrecord, including duplicate materials.

Section of Reports

1. Clayton Antitrust Act Contracts and Agreements Filings, 1956-65. 5 cubic feet. Arranged by carrier type (rail, motor, water, and pipeline) and thereunder in alphabetical order by carrier name.

Contracts, agreements, and supporting documents filed by carriers for transactions governed by bidding regulations contained in Section 10 of the Clayton Antitrust Act.

Contents include all or part of the following: a copy of the public call for bids; a list of responding bidders; a list of directors, officers, or agents for each bidder; identification of the successful bidder and the amount and terms of the bid; and a copy of the contract or contract specifications.

WNRC Accessions:	134-65S2436	Boxes:	60
	134-66I2011		36
	134-67I3685		36
	134-71I6490		28-29

Destroy immediately.

2. Quarterly Reports of Expenditures for Additions and Betterments. 1957-72. 53 cubic feet. Arranged by carrier type (rail, motor, water, and pipeline) and thereunder chronologically by quarter.

Quarterly reports submitted by carriers showing expenditures for plant and equipment during the current quarter, and anticipated expenditures for following quarters.

WNRC Accessions:	134-63A1152	Boxes:	15-18
	134-65A2436		16-19
	134-67B3685		149-152
	134-68B3546		142-146
	134-69E5456		127-130
	134-71E6490		134-137
	134-73-0051		1-4
	134-73H1233		144-147
	134-75-0008		1-4
	134-76-0035		1-4
	134-76-0057		1-4
	134-77-0029		1-4
	134-78-0041		1-4

Destroy immediately.

3. Carrier Published Reports to Shareholders, 1851-1971. 99 cubic feet. Arranged alphabetically by name of carrier, with some exceptions in accession number 134-59A1180.

Published annual reports from motor, rail, water, and pipeline carriers to their shareholders. Carriers were required to submit copies of these reports to the Commission. These reports duplicate in part, information provided in Carrier Annual Reports. Content varies from report to report, each of which shows some of the following: lists of directors and officers; a brief financial report (showing operating revenues and expenses, net earnings, earnings per share, assets, liabilities, shareholders equity, and dividend levels); a brief history of the firm; information on routes and operating rights, the development of new facilities and services, new rental or leasing arrangements, the implementation of new technologies, and the purchase of new facilities and equipment; and some work force data (such as the number of employees and major strike activity).

WNRC Accessions:	134-59A1180	Boxes:	1-94
	134-61I0231		58
	134-71H6490		27
	134-75D0001		88-89
	134-77E0023		75-76

PERMANENT. Transfer to the National Archives in 1994.

Director's Office

4. Rail and Motor Carrier Enforcement Correspondence Files (called "EC" files), 1968-76. 39 cubic feet. Arranged in correspondence file number order; railroad files have an "R" suffix after the file number.

Correspondence between the Director of the Bureau of Enforcement and District Supervisors of the Bureau of Operations involving complaints by carriers and the public; guidelines on procedures for followup on complaints; and correspondence with carriers and the public concerning complaints.

WNRC Accessions:	134-77-0042	Boxes:	1-25
	134-78-0043		1-9
	134-80-0026		1-5

Destroy immediately.

Office of the Chief Inspector

5. Locomotive Inspection Policy Correspondence Files, 1911-55. 29 cubic feet. Arranged in alphabetical order by name of rail carrier.

Incoming and outgoing correspondence between the Bureau and rail carriers involving policies or regulations concerning locomotive and tender inspections, or the results of such inspections; permission to carriers to take actions affecting their locomotives; and noncompliance by carriers ordered to take locomotives out of service.

WNRC Accessions:	134-67A3944	Boxes:	1-5
	134-67E3944		26-49

PERMANENT. Transfer to the National Archives in 1994.

6. Stoker Equipment and Data Correspondence Files, 1939-54. 3 cubic feet. Arranged alphabetically by carrier name.

Incoming and outgoing correspondence arising from a March 18, 1939 Commission order requiring mechanical stokers on coal burning steam locomotives of designated weights used in freight or passenger service. Includes lists of locomotives subject to the order, carrier requests for interpretations of the order, carrier notification that the required equipment has been ordered and/or installed, and carrier assurances that stoker requirements have been met.

WNRC Accession:	134-67B3944	Boxes:	6-8
-----------------	-------------	--------	-----

Destroy immediately.

7. Locomotive Inspection Violations and Prosecution Case Files, 1922-31. 3 cubic feet. Arranged in alphabetical order by carrier name.

Investigatory material and some prosecution case files involving carriers in violation of the Boiler Inspection Act of February 17, 1911 and its amendments.

Files consist of all or some of the following: inspector's reports of violations, correspondence with U.S. Attorneys and carriers, copies of court transcripts, notices to produce documents, statements of facts and law, depositions, court orders, plea bargain information, and final judgments.

WNRC Accession: 134-67C3944 Boxes: 22-24

Destroy immediately.

8. Opinions and Decisions Regarding Locomotive Safety, 1912-63. 1 cubic foot. Arranged in topical order (Counsel's Opinions, Court Decisions, Appeals Decisions).

Legal materials involving locomotive or tender safety assembled by the Office of the Chief Inspector, apparently for the use of the latter. Included are a typewritten digest of locomotive safety laws and court decisions, summaries of Supreme Court and Appeals Court decisions, and the opinions of the Chief Counsel on court decisions affecting the Bureau's authority and operations.

WNRC Accession: 134-67D3944 Box: 25

PERMANENT. Transfer to the National Archives in 1994.

Section of Complaints

9. Monthly Section Reports, 1/1938-12/1957. 1 cubic foot. Arranged chronologically.

Copies of monthly and semimonthly reports from the section to the bureau chief for inclusion into periodic operational reports sent on to the Commissioners. The reports are typed according to format. They show such reporting period information as the number of motor carriers operating under Commission authority; the number and status of applications for carrier operating rights; the number and status of carrier financial applications; and the number and status of hearing submissions.

WNRC Accession: 134-70A6294 Box: 1

Destroy immediately.

Section of Field Services

10. Field Services Files, 1937-56. 4 cubic feet. Arranged according to subject (for example: District Director's Conferences, Transportation of Explosives, Labor Union Regulations, Proposed Leasing Regulations, Field Staff Program).

- a. Field office director's records of conferences at which policy questions and long range planning were debated and discussed including Section Director's bound copies of the minutes of the District Director's Conferences, agendas and lists of participants, conference minutes, correspondence, directives, instructions, and reports of regional activities.

WNRC Accession: 134-61A1113 Box: 24

PERMANENT. Transfer to the National Archives in 1994.

- b. Informational material from field staff directors, and working papers from the Section of Field Services.

WNRC Accession: 134-61A1113 Boxes: 25-27

Destroy immediately.

Medals of Honor Committee

11. Medals of Honor Case Files, 1905-55. 3 cubic feet. Arranged in case number order, running from 1-105

Documents application and candidacy procedures for Medals of Honor recipients. These awards were created in 1905 to honor persons endangering their lives by saving or trying to save others in a rail carrier wreck, disaster, or serious accident, or who endeavored to prevent such an incident. The Medals of Honor, stamped at the U.S. Mint, are accompanied by letters of transmittal from the President.

Files include all or most of the following: affidavits, investigative reports, eye-witness accounts, and newspaper clippings of the incident; agency commentaries on the case and an agency recommendation for or against an award; copies of Medals of Honor regulations or guidelines; requests for a presidential letter of transmittal, a letter of announcement to the recipient or to survivors, and a press release.

WNRC Accessions: 134-63B1007 Boxes: 34
 134-64A1727 1-2

PERMANENT. Transfer to the National Archives in 1994.

Section of Railroad Safety

12. Railroad Accident Reports, 1911-63. 10 cubic feet. Arranged in report number order.

Official file copies of carrier accident investigation reports. These reports are required by the Accident Reports Act of May 6, 1910.

WNRC Accession: 134-67A4165 Boxes: 1-10

PERMANENT. Transfer to the National Archives in 1994.

Section of Annual Reports

13. Rail Carrier Circular Annual Reports, 1891-1944. 19 cubic feet. Arranged alphabetically by name of rail carrier and thereunder in chronological order.

Annual reports on circular forms number 11 (rail mileage), 12 (lines newly constructed or under construction), and 13 (terminal and switching equipment).

Respondents included both independent public carriers and private roads. All appear to have been small operations. Public carriers were involved in branch lines running from isolated locations to main lines operated by larger railroads. Private roads were those constructed for use in logging, lumber, quarrying, and mining operations, or in very large construction projects such as dams. Most public roads utilized under 100 miles of track, the private carriers usually less than 20. In later years, respondents also included switching and terminal companies.

WNRC Accession: 134-59C0609 Boxes: 133-151

PERMANENT. Transfer to the National Archives in 1994.

Section of Reports

14. Quarterly Carrier Reports of Expenditures for Additions and Betterments, 1957. 4 cubic feet. Arranged by carrier type (rail, motor, water, and pipeline), and thereunder in alphabetical order by name of carrier.

Reports of expenditures for actual and anticipated additions and betterments filed by carriers on forms provided by the Commission.

Reports show expenditures for road and equipment for class I rail carriers; expenditures for structures, equipment, and other tangible property for class I and II motor carriers; expenditures for floating equipment, terminals and other shipping property and

equipment, and nonshipping property and equipment for water carriers; and expenditures for carrier property and miscellaneous physical property for pipeline companies.

WNRC Accession: 134-63A1152 Boxes: 15-18

Destroy immediately.

15. Assorted Quarterly and Monthly Reports, 1958. 18 cubic feet. Arranged according to report form and thereunder chronologically.

Three types of carrier reports on forms provided by the Commission. Each type of form comprises a separate records series. Forms include quarterly reports documenting expenditures for additions and betterments; quarterly reports of freight commodity statistics (Form QCS), and monthly reports documenting employee service and compensation.

WNRC Accession: 134-64A1380 Boxes: 15-28

Destroy immediately.

16. Annual, Quarterly, and Monthly Commission Publications, 1897-1960. 15 cubic feet. Arranged by publication subject.

Record copies of published annual, quarterly, and monthly statistical reports, and bulletins and abstracts compiled by the Commission. Annual reports include reports of express companies, 1909-1920; statistics of oil pipeline companies, 1928-1947; freight commodity statistics, 1924-1960; comparative statements of operating averages, 1921-1951; statistics of class I motor carriers, 1938-1948; and rail accident reports, 1901-1939.

Series also contains major publications from the Federal Coordinator of Transportation on labor issues such as hours, wages and working conditions in inner city motor transport; unemployment compensation for transportation workers; comparative labor standards in transportation; and hours, wages, and working conditions in inland water transportation.

Series includes the annual Commission publication, Statistics of Railways, 1897-1953.

WNRC Accession: 134-63A0921 Boxes: 13-27

PERMANENT. Transfer to the National Archives in 1994.