

|   |                     |   |                                |
|---|---------------------|---|--------------------------------|
| <b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b><br>(See Instructions on reverse) |                     | LEAVE BLANK (NARA use only)   |                                |
| TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)<br>WASHINGTON, DC 20408    |                     | JOB NUMBER  | NI-431-99-5                    |
| 1. FROM (Agency or establishment)<br><b>U.S. Nuclear Regulatory Commission</b>    |                     | DATE RECEIVED   | 12-24-98                       |
| 2. MAJOR SUBDIVISION<br><b>Office of Small Business and Civil Rights</b>          |                     | NOTIFICATION TO AGENCY  |                                |
| 3. MINOR SUBDIVISION  |                     | In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. |                                |
| 4. NAME OF PERSON WITH WHOM TO CONFER   | 5. TELEPHONE        | DATE  | ARCHIVIST OF THE UNITED STATES |
| <b>John Harris</b>  | <b>301-415-5885</b> | 1-12-00   | <i>John W. Paul</i>            |

6. AGENCY CERTIFICATION  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 5-6 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required;       is attached; or       has been requested.

|               |                                    |                     |
|---------------|------------------------------------|---------------------|
| DATE          | SIGNATURE OF AGENCY REPRESENTATIVE | TITLE               |
| Dec. 17, 1998 | <i>Armando J. Stella</i>           | NRC Records Officer |

| 7<br>ITEM<br>NO | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION  | 9 GRS OR<br>SUPERSEDED<br>JOB CITATION | 10 ACTION<br>TAKEN (NARA<br>USE ONLY) |
|-----------------|--|--|---------------------------------------|
|                 | <p><b>Agencywide Documents Access and Management System (ADAMS) Programmatic Records of the NRC Office of Small Business and Civil Rights.</b></p> <p>ADAMS is an electronic information system that maintains the Nuclear Regulatory Commission's (NRC's) unclassified official programmatic and administrative records in a centralized electronic document repository. ADAMS is designed to meet all National Archives and Records Administration (NARA) regulations to ensure NARA approval of ADAMS as an official electronic recordkeeping system. Beginning October 1, 1999, NRC headquarters and regional offices will no longer create or maintain paper-based record collections because the electronic documents in ADAMS will become the "official records" of the agency. The "official record" of documents maintained in ADAMS is a PDF file or a bit-mapped image file with an associated document profile containing "fielded" data to describe the document and its attributes. In addition, any electronic data related to a digital signature and/or electronic data that evidence final NRC management and staff concurrence in a document is linked to and considered part of the official record.</p> <p>Records disposition schedules for the NRC records maintained in ADAMS are divided into two broad groups:</p> <ol style="list-style-type: none"> <li>1) Programmatic records created or received by each individual NRC office</li> <li>2) Administrative records created or received by any NRC offices</li> </ol> |  |                                       |

*cc Agency, NR, NWMP, NWME*

| 7. ITEM NO. | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION   | 9. GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
|-------------|--|-----------------------------------|---------------------------------|
|             | <p><b>The records disposition schedules for the administrative records series of all NRC offices are under development for later submittal to NARA.</b></p> <p><b>The records disposition schedules for the programmatic records series of each NRC office will be submitted to NARA under separate SF 115 for each office.</b></p> <p><b>This SF 115 pertains to the programmatic records of the NRC Office of Small Business and Civil Rights.</b></p> |                                   |                                 |

Item 1 General Program Correspondence Files (Subject Files) . . . . . 4

Note: NRC Schedule 2-26.1, "General Files," was superseded by GRS 3-17, "Small and Disadvantaged Utilization Files," June 1988, and is being removed from NUREG-0910.

ADAMS RECORDS SCHEDULES  
OFFICE OF SMALL BUSINESS AND CIVIL RIGHTS

| ITEM NO. | DESCRIPTION OF RECORDS   | AUTHORIZED DISPOSITION   | NARA-APPROVED CITATION   |
|----------|--|--|--|
| 1.       | <p><u>General Program Correspondence Files (Subject Files)</u></p> <p>Letters, memoranda, messages, studies, reports, forms, and other data documenting and/or implementing plans, policies, procedures, accomplishments, opinions, and decisions pertaining to Office of Small Business and Civil Rights (SBCR) program and staff activities.</p> <p>a. <u>Program Correspondence Files at the Office Director Level.</u></p> <p>Files that document policy-making decisions or significant SBCR program management functions that are signed by or addressed to the SBCR Director or Deputy Director. Excluded are records related to the small and disadvantaged business utilization program, and records related to the Civil Rights Act of 1964, the EEO Act of 1972, and any pertinent later legislation, and records related to the agency EEO Committees.</p> |  |  |
|          | <p><del>(1) Paper records created before 01/01/2000.</del></p>   | <p><del>Permanent. Cut off at close of fiscal year. Hold 2 years and retire to the Washington National Record Center through OCIO. OCIO will transfer to NARA when 20 years old.</del></p> | <p><del>NC1-431-81-5<br/>Item 1.2.15.a<br/>(NUREG-0910<br/>NRCS 1-2.2.a)</del></p> |
|          | <p>(2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files.</p>   | <p>Destroy paper documents 2 months after creation of ADAMS electronic record.</p>   | <p>TBD</p>   |

| ITEM<br>NO. | DESCRIPTION OF RECORDS  | AUTHORIZED DISPOSITION  | NARA-<br>APPROVED<br>CITATION |
|-------------|---|---|-------------------------------|
| (3)         | Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later.   | TBD                           |
| (4)         | ADAMS PDF files and TIFF files.   | <u>Permanent.</u> Cut off electronic files at close of fiscal year. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 8 years after transferring record to NARA. | TBD                           |
| (5)         | ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and   | <u>Permanent.</u> Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are transferred.   | TBD                           |

ITEM  
NO.

DESCRIPTION OF RECORDS

AUTHORIZED DISPOSITION

NARA-  
APPROVED  
CITATION

considered part of the  
official records.