

Request for Records Disposition Authority

Records Schedule Number DAA-0543-2014-0001
Schedule Status Approved

Agency or Establishment Federal Housing Finance Agency
Record Group / Scheduling Group Records of the Office of Federal Housing Enterprise Oversight
Records Schedule applies to Agency-wide
Schedule Subject Supervision and Housing Mission
Internal agency concurrences will be provided No

Background Information There are 2 items under 2.1. Examination and Evaluation Activities Records
2.1a are Examination Records that are permanent records of the Federal Housing Finance Agency, and the description is being revised to add several types of records that were previously managed as 2.1b Workpapers with a 7-year retention.
2.1b are Examination Workpapers with a 7-year retention.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	1	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0543-2014-0001

Sequence Number	
1	2.1 Examination and Evaluation Activities Records
1.1	2.1a Examination and Evaluation Activities Records
	Disposition Authority Number: DAA-0543-2014-0001-0001
1.2	2.1b Examination Workpapers
	Disposition Authority Number: DAA-0543-2014-0001-0002

Records Schedule Items

Sequence Number	
1	<p>2.1 Examination and Evaluation Activities Records</p>
1.1	<p>2.1a Examination and Evaluation Activities Records</p> <p>Disposition Authority Number DAA-0543-2014-0001-0001</p> <p>Products and related records that document the findings and conclusions of FHFA's safety and soundness and mission-related examinations, and other statutorily or regulatorily required evaluations of the regulated entities. These products and related records include Reports of Examination, Evaluation Reports, Conclusion Letters, Supervisory Letters, and Findings, including Matters Requiring Attention, Recommendations, and Violations.</p> <p>Final Disposition Permanent</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p>GRS or Superseded Authority Citation N1-543-11-01-2.1a</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Disposition: PERMANENT. Cutoff when the project/activity/transaction is completed or superseded.</p> <p>Transfer to the National Archives for Accessioning Transfer to the National Archives 30 year(s) after cutoff in accordance with regulations and procedures in place at the time of transfer.</p> <p>Additional Information</p> <p>First year of records accumulation 2008</p> <p>What will be the date span of the initial transfer of records to the National Archives? From 2008 To 2009</p> <p>How frequently will your agency transfer these records to the National Archives? Every 1 Years</p>
1.2	<p>2.1b Examination Workpapers</p>

Disposition Authority Number **DAA-0543-2014-0001-0002**

Examination workpapers created or collected by FHFA to support the findings and conclusions of FHFA's safety and soundness examinations and other statutorily or regulatorily required evaluations of the regulated entities. Workpapers include request letters, planning documents, meeting notes, analyses, research, interview summaries, Midyear Letters, and other documents or data created or collected that support examination and evaluation activities, findings, and conclusions.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered
by this item currently exist in
electronic format(s) other than e-
mail and word processing? **No**

GRS or Superseded Authority
Citation **N1-543-11-01-2.1b**

Disposition Instruction

Cutoff Instruction **Disposition: TEMPORARY. Cutoff when the project/
activity/transaction is completed or superseded.**

Retention Period **Destroy 7 year(s) after the project/activity/transaction
is completed or superseded.**

Additional Information

GAO Approval **Not Required**

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
05/19/2014	Certify	Susan Sallaway	Records Officer	FHFA - FHFA
10/14/2014	Submit for Concurrency	Lauren Crisler	Appraiser	National Archives and Records Administration - Records Management Services
10/21/2014	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - Records Management Services
10/21/2014	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
10/23/2014	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist