

Request for Records Disposition Authority

Records Schedule Number **DAA-0440-2012-0007**
 Schedule Status **Approved**

Agency or Establishment **Centers for Medicare and Medicaid Services**
 Record Group / Scheduling Group **Records of the Centers for Medicare and Medicaid Services**
 Records Schedule applies to **Agency-wide**
 Schedule Subject **Payment Recovery Information System (PRIS)**
 internal agency concurrences will be provided **Yes**

Background Information **PRIS supports the life cycle of the Parts C (Medicare Advantage) & Part D (Prescription Drug) Recovery Audit Contractors (RACs) program. Includes activities from the RACs, Data Validation Contractors (DVC), & Appeals contractor along with activities associated with the improper payment recoupment process. PRIS will interface with the Automated Plan Payment System (APPS) (pending schedule N1-440-09-04) to send requests to offset improper payments to adjust plans' monthly payments. The payment process will include functionality to document wire transfers and receipts of checks. PRIS will capture and store total improper payment amounts and contingency amounts owed to the RAC. The data warehouse will store the all activities associated with identified improper payments. Data stored in the data warehouse will be used for reporting. The reporting feature will allow CMS policy personnel to review the issues for interpretation of CMS policy. PRIS allows the secure transfer of imaged documentation from the RAC to CMS over MPLS lines. These images are stored in Enterprise Content Manager (ECM)**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0440-2012-0007

Sequence Number	
1	Master Files Disposition Authority Number DAA-0440-2012-0007-0001

Records Schedule Items

Sequence Number	
1	<p data-bbox="373 417 546 449">Master Files</p> <p data-bbox="373 470 1161 502">Disposition Authority Number DAA-0440-2012-0007-0001</p> <p data-bbox="373 523 1516 704">Metadata associated with the collection of claims and supporting documentation submitted by a Medicare Part C or Part D Recovery Audit Contractor (RAC) in support of their analysis to identify and recover improper claim payments made to a Medicare Advantage (MA), Medicare Advantage Prescription Drug (MAPD), or a Prescription Drug (PDP) plan/sponsor</p> <p data-bbox="373 725 931 757">Final Disposition Temporary</p> <p data-bbox="373 778 865 810">Item Status Active</p> <p data-bbox="373 832 835 863">Is this item media neutral? Yes</p> <p data-bbox="373 885 819 1002">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p data-bbox="373 1034 678 1066">Disposition Instruction</p> <p data-bbox="373 1087 1516 1161">Cutoff Instruction Cutoff at the end of the calendar year of an improper payment, recoupment or final appeals results</p> <p data-bbox="373 1183 1194 1215">Retention Period Destroy 10 year(s) after cutoff</p> <p data-bbox="373 1247 674 1278">Additional Information</p> <p data-bbox="373 1310 959 1342">GAO Approval Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified

Signatory Information

Date	Action	By	Title	Organization
04/05/2012	Certify	Victoria Robey	Records Officer	Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group
06/28/2012	Submit for Concurrency	Sean Curry	Senior Records Analyst	National Archives and Records Administration - Agency Services
06/29/2012	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - Records Management Services
07/02/2012	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
07/03/2012	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist