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| REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i> | | LEAVE BLANK (NARA use only) | |
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | | JOB NUMBER N9-317-00-02 | DATE RECEIVED 10/10/99 |
| 1 FROM (Agency or establishment) U.S. Department of Labor | | NOTIFICATION TO AGENCY | |
| 2 MAJOR SUBDIVISION Pension & Welfare Benefits Administration | | In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 3 MINOR SUBDIVISION Office of Enforcement | | | |
| 4 NAME OF PERSON WITH WHOM TO CONFER Pamela Rhodes | 5 TELEPHONE 202/219-6471 | DATE 3-6-00 | ARCHIVIST OF THE UNITED STATES <i>John W. Paul</i> |

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required, is attached; or has been requested

| | | |
|-----------------|---|--|
| DATE 10/8/99 | SIGNATURE OF AGENCY REPRESENTATIVE <i>Maureen Hill</i> | TITLE <i>Departmental Records Officer</i> |
|-----------------|---|--|

| 7. ITEM NO. | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
|-------------|--|----------------------------------|---------------------------------|
| | Please see the attached. | | |

MAR 09 2000 *of copy to agency*

PENSION AND WELFARE BENEFITS ADMINISTRATION
OFFICE OF ENFORCEMENT

(NC1-317-85-2)

OPWBP Records Schedule No. 1

The Office of Pension and Welfare Benefit Programs (OPWBP) provides for the administration and enforcement of the Employee Retirement Income Security Act of 1974 (ERISA), approved September 2, 1974 (88 Stat 829, 29 U S C 1001 note) The Act is administered by the Department of Labor and Treasury, and the Pension Benefit Guaranty Corporation and protects the financial interest of 45 million welfare and pension plans with 200 million participants and assets of \$920 billion The Welfare and Pension Plans Disclosure Act (WPPDA) and its reporting provisions, were repealed by the ERISA on January 1, 1975

1 Investigative Case Files

Correspondence and complaints, investigative reports, and exhibits relating to ERISA investigations Arranged numerically by case number

NN166-161 and NC1-317-81-1

a Primary Case Files Accumulated by office assigned primary investigative responsibility

Disposition

(1) Cases referred for legal action

a Recordkeeping copy(paper): Cut off and remove from active files when litigation is completed Transfer to Federal Records Center when six (6) months old Destroy when seven (7) years old

b Electronic version of records Created by wordprocessing applications Delete after recordkeeping copy has been produced

2 All other records

a Recordkeeping copy(paper) Cut off and remove from active files when case is closed Transfer to Federal Records Center when six (6) months old Destroy when seven (7) years old

b, Electronic version of records: Created by wordprocessing applications Delete after recordkeeping copy has been produced

~~**b Auxiliary Case Files** Accumulated by office assigned auxiliary investigative responsibility~~

~~**Disposition**~~

~~**(1) Original exhibits and other records necessary to document the investigation** Remove from active files when case is closed Forward to office having primary investigative responsibility, which will incorporate these records into primary case files (items 1a(1) and 1a(2) above)~~

~~**Electronic version of records** None~~

~~**(2) All other records** Remove from active files when case is closed Destroy when (1) year old~~

~~Electronic version of records None~~

~~2. Intelligence (Zero) Files~~

~~Correspondence, newspaper clippings, and other records that document general intelligence information concerning individuals and organizations either subject to provisions of ERISA or parties relevantly associated with the Act~~

~~Superseded *NN166-161*~~

~~**Dispositions**~~

~~(1) Documents forming a basis for investigative actions~~

~~Transfer to the appropriate investigative case files (items 1a and 1b above)~~

~~**Electronic version of records:** None~~

~~(2) All other records~~

~~Cut off annually Destroy when three (3) years old Do not retire to the Federal Records Center~~

~~**Electronic version of records** None~~