

End NCD 7 SEP 80/H

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

LEAVE BLANK
JOB NO
NC1-368-80-2
DATE RECEIVED
September 5, 1980
NOTIFICATION TO AGENCY
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10
10-6-80 <i>Jodel K. Way</i> Date Archivist of the United States

TO: GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM (AGENCY OR ESTABLISHMENT)
Advisory Council on Historic Preservation

2. MAJOR SUBDIVISION
Office of Cultural Resource Preservation

3. MINOR SUBDIVISION
Eastern & Western Divisions of Project Review

4. NAME OF PERSON WITH WHOM TO CONFER
Maxwell
Eupha Washington

5. TEL. EXT.
254-3974

6. CERTIFICATE OF AGENCY REPRESENTATIVE.

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 3 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

A Request for immediate disposal.

B Request for disposal after a specified period of time or request for permanent retention.

C. DATE 8/29/80	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Frank L. ...</i>	E. TITLE Administrative Officer
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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
1.	<p>106/11593 Compliance Files. Files created to maintain a record of Federal agency compliance with Section 106 and the Advisory Council on Historic Preservation regulations. Records include National Register nomination forms/determinations of eligibility; findings of effect from Federal agencies; comments to SHPO's; Notice of Adverse Effect preliminary case report; correspondence from interested citizen groups; photographs of the site; site plans and maps of the project; feasibility studies and data on alternatives; project descriptions; decision documents from the Council (concurrence in NAE, MOA); public information meeting materials (tapes and transcripts, attendance forms, Federal Register notices). Arranged by State, thereunder by Project.</p> <p>a. <u>Cases resulting in a No Adverse Effect or No Effect Determination:</u> Destroyed at the Council one year after close of the case.</p> <p>b. <u>Cases resulting in a Conditional No Adverse Effect Determination:</u> Held at the Council for one year following close of the case. Break file annually at close of FY and transfer to FARC. Destroyed five years after transfer to FARC. Place in inactive file upon close of case. Break inactive file annually at close of FY. Transfer to FARC 1 year after</p>		

6 items

115-107

break. Destroy 5 years after transfer to FARC.

to 8NC, WARC, NNB, NNE, agency - 10/8/80
Closed out & Copies sent: 10-14-80: K.T.D.

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>c. <u>Cases resulting in a Memorandum of Agreement:</u> Held at the Council for three years following close of the case. <i>Ca. 8 cu ft/yr.</i> ^{Cases selected according to criteria listed in Note A below -} PERMANENT: Break file annually at close of FY and transfer to FARC. Offer to NARS five years after transfer to FARC. (See Note A)</p> <p><i>2)</i> All other cases -- Place in inactive file upon close of case Unselected cases: Break file annually at close of FY, and transfer to FARC. Destroyed five years after transfer to FARC.</p> <p>d. ^{All} Cases resulting in Council/Panel Meeting Held at the Council for three years following close of case. Break file annually at close of FY, and transfer to FARC. Offer all cases to NARS five years after transfer to FARC.</p> <p>_____ <i>Ca. 2 cu ft/yr.</i></p> <p><i>Memo of Agreement</i> <i>Note A: Approximately 20 cases per year will be offered to NARS for permanent retention. The Eastern and Western Divisions of Project Review will determine between themselves each year how many of the cases will come from each Division.</i></p> <p>In selecting Memorandum of Agreement _____ for permanent retention by NARS, the Council will use the following criteria:</p> <ul style="list-style-type: none"> ● Cases that result in court decisions that significantly interpret legislation or regulations. An example of such a case is <u>Watch v HUD</u>, U.S. Court of Appeals for the Second Circuit. The court said that Federal agencies have a continuing responsibility to comply with the Section 106 process during the course of an undertaking as long as the agency retains any approval authority; ● Cases that gain national, regional or local attention because of Congressional or public interest and involvement. An example of such a case is <u>Lockefield Gardens</u>, Indianapolis, Indiana. A 1937 public housing project that received national attention as a result of 	<p><i>Permanent</i> Place in inactive file upon close of case. Break inactive file annually at close of FY. Transfer to FARC 3 yrs. after break, offer to NARS 5 yrs. after transfer to FARC.</p> <p><i>PERMANENT</i> Place in inactive file upon close of case. Offer 3 yrs. after break.</p>	<p><i>get</i> Place in inactive file upon close of case. Break inactive file annually at close of FY. Transfer to FARC 3 yrs. after break, offer to NARS 5 yrs. after transfer to FARC.</p> <p><i>approx. 20</i></p>

Request for Records Disposition Authority - Continuation

JOB NO.

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>efforts to preserve the complex on the part of many members of the Indianapolis Block community. Case also generated Congressional involvement including an appearance by Senator Boyle;</p> <p>● Cases that result in the formation or significant modification of agency policy. An example is the Hudson Building in Detroit, Michigan. This case spurred development of a process oriented approach to dealing with major UDAG problems and concerns of urban redevelopment.</p> <p><i>c. Environmental Impact Statements Correspondence Files. National Environmental Act 102(a)(c) correspondence to & from the Advisory Council regarding environmental documentation for undertakings received & reviewed between 1972 & 1979.</i></p> <p><i>Destroy when 3 years old.</i></p>		

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

Rec'd NCD 30 JUN 80 HQ

LEAVE BLANK	
JOB NO	
<i>NCI-368-80-2</i>	
DATE RECEIVED	
<i>July 3, 1980</i>	
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.	
Date	Archivist of the United States

TO: **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1. FROM (AGENCY OR ESTABLISHMENT)
Advisory Council on Historic Preservation

2. MAJOR SUBDIVISION

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER
Eupha M. Maxwell

5. TEL. EXT.
254-3974

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

- A** Request for immediate disposal.
- B** Request for disposal after a specified period of time or request for permanent retention.

C. DATE	D. SIGNATURE OF AGENCY REPRESENTATIVE	E. TITLE	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<i>Anne M. Kleinheimer</i>	<i>Acting Administrative Officer</i>		
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
1.	106/11593 Compliance Files			
	Files created by Eastern Division of Project Review			
	Purpose: To maintain a record of Federal Agency Compliance with Section 106 and Council Regulations. Files contain written evidence of agency compliance and should clearly indicate the status of the case at any point in time.			
	Contents: 1. National Register nomination form/determination of Eligibility. 2. Findings of Effect from Federal Agency. 3. Comments to SHPO. 4. Adequate documentation (NAE)/ preliminary Case Report(AE). 5. Correspondence from interested citizens groups: (a) photographs, (b) site plans, (c) maps, (d) feasibility studies and data on alternatives (e) project Descriptions. 6. Decision documents from Advisory Council (Concurrence in NAE, Memorandum of Agreements). 7. Public Information meeting materials (a) Tapes and/or transcripts, (b) attendance forms, (c) Federal Register notice.		<i>Sept 1980</i>	<i>5, 1980 draft</i>

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Request for Records Disposition Authority - Continuation

JOB NO.

PAGE OF

7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
2	<p>Files are arranged by State/Property/Agency/Project - Cases also arranged by Pending/Closed.</p> <p>Disposition: Transfer to Record Center end of fiscal year for retention for 5 years and then to offer to Achives.</p> <p>EDA/LPW Files (1977-1978)</p> <p>Arranged by State/EDA Number e.g. (NJ-5113)</p> <p>Created by Eastern Division of Project Review - to maintain a record of correspondence between State Historic Preservation Officers, EDA, and Advisory Council on 106 compliance on specific projects and a record of conditions placed on grants.</p> <p>Contents: a. Project Description. b. Request for Comments from EDA, c. Grant conditions established by EDA, d. Photographs, e. Design Drawings, and f. Council Response.</p> <p>Disposition: Retain for 2 years then dispose.</p>		
3	<p>Environmental Impact Statements Correspondence Files.</p> <p>Arranged by States.</p> <p>Created by the Western Division of Project Review -contains National Environmental Act 102(2)(C) Correspondence to and from Advisory Council on Historic Preservation on environmental documentation for undertakings received and reviewed between 1972 and December 1977.</p> <p>State For Western Division - Alaska, American Samoa, Arizona, Arkansas, California, Colorado, Guam, Hawaii, Idaho, Kansas, Louisiana, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Trust Territory, Utah, Washington, and Wyoming.</p> <p>Disposition: Retain for 3 years then dispose.</p> <p style="text-align: center; font-size: 2em; font-family: cursive;">DRAFT</p>		

Request for Records Disposition Authority - Continuation

JOB NO.

PAGE OF

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
4	<p>Environmental Impact Statements Correspondence Files (1972-1979)</p> <p>Arranged by States</p> <p>Created by the Eastern Division of Project Review - contains National Environmental Act 102(2)(c) Correspondence to and from Advisory Council on Historic Preservation on environmental documentation for undertakings received between 1972 and 1979.</p> <p>States for Eastern Division of Project Review - New Jersey, Connecticut, Massachusetts, Rhode Island, Maine, New Hampshire, Vermont, Illinois, Minnesota, Michigan, Iowa, Indiana, Missouri, Wisconsin, Ohio, West Virginia, Virginia, Pennsylvania, Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Virgin Islands, Delaware, Maryland, New York, District of Columbia.</p> <p>Disposition: Retain for 3 years then dispose.</p>		

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